



SwissAssetDAO – Privacy Policy

v.20230812

1. Principle

We take the protection of your privacy seriously. The following privacy policy details which of your data we process if you visit our website, use our online services, or if we provide any services to you generally. We gather and process your data carefully and exclusively for the purposes described in this privacy policy and only to the extent necessary within the framework of applicable legal provisions. We store your data exclusively to the extent and for the duration necessary to provide our services, or as required by law. In close cooperation with our hosting providers, we make every effort to ensure that databases are protected from unauthorized access, loss, misuse, and falsification. This privacy policy is based on the EU's General Data Protection Regulation (GDPR). Although the GDPR is a regulation of the European Union, it is of significant relevance to us. The Swiss Federal Act on Data Protection (FADP) is heavily influenced by EU law, and companies outside the European Union and the European Economic Area must comply with the GDPR under certain circumstances.

2. Data protection officer

Legal Services - General Law, Designs, and Enforcement is responsible for ensuring compliance with the applicable provisions on data protection. If you have concerns regarding data protection, you may send them to us at the following address: Swiss Federal Institute of Intellectual Property Legal & International Affairs Division Legal Services - General Law, Designs and Enforcement Stauffacherstrasse 65/59g 3003 Bern R+I_Allgemeines_Recht@ipi.ch

3. Collection and processing of personal data

We process the personal data that we receive within the scope of implementing intellectual property laws, in particular the administration of industrial property rights (patents, trademarks, designs, and topographies), the administration of the Patent Attorney Register, and the supervision of the collective rights management organizations for copyright. In addition, we process personal data within the scope of our information services (patent and technology searches, and trademark searches) and our range of training courses. To the extent permitted, we gather certain data from publicly

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accessible sources (e.g., debt enforcement registers, land registers, commercial registers, the press, the internet) or receive such data from authorities and other third parties for the specific purpose of being able to conclude or process contracts with you or correctly register your IP rights in the register. To conduct conference calls, online meetings, video conferences, and/or webinars, we use the 'Zoom' service, which is deployed on-premises. The following data is processed in this respect: username, general information about service preferences, information about each user's device and network and an internet connection, for example, IP address(es), MAC address, other device IDs (UDID), device type, operating system type and version, client version, information about usage of or other interaction with Zoom products (usage information), other information uploaded, provided or created by the user while using the service, and metadata used to maintain the service provided. As is generally the case with collaborative tools, other personal data may be exchanged where necessary between the IPI and meeting participants, e.g., chat messages, images, files, audio, or video recordings, contact details, and metadata used to maintain the service provided. Only a minimal amount of data is processed if you attend an online Zoom meeting but do not have a Zoom account. Meetings are only recorded with prior consent, and recordings are saved locally. You can find more information about the processing of personal data in Zoom's Privacy Statement.

4. Purposes of data processing and legal basis

We use the personal data that we collect for examining, granting, and administering industrial property rights (patents, trademarks, designs, and topographies) and for maintaining the Patent Attorney Register. In addition, we use the data for processing and concluding contracts with our customers and business partners, particularly within the scope of search services for our customers and the purchase of products and services from our suppliers and subcontractors, as well as to fulfill our legal responsibilities domestically and abroad. Your data can of course also be involved if you work with such customers or business partners in this capacity. In addition, we also process personal data from you and other persons, to the extent permitted and deemed appropriate by us, in which we (and sometimes third parties) have a relevant legitimate interest for the following purposes: — Providing information about offers, services, websites and other platforms where we have an online presence — Communicating with third parties and processing their queries (e.g. applications, media queries) — Examining and



optimising needs analysis procedures for the purpose of directly addressing customers, as well as collecting personal data from publicly accessible sources for the purpose of customer acquisition — Advertising and providing information about our services and offers (including conducting events and sending the annual report) if you have not refused permission for the use of your data (if we send you advertising as a current customer, you may refuse permission at any time and we will place you on a list to block the sending of further advertising) — Market surveys, opinion research, media monitoring — Asserting and defending legal claims in the context of legal disputes and official procedures — Preventing and investigating crimes and other misconduct (e.g. conducting internal investigations, data analyses to combat fraud) — Safeguarding our operations, in particular our IT, our websites and other platforms — IT, building and system security measures to protect our employees and other persons, as well as assets that belong to us or have been entrusted to us (e.g. access controls, visitor lists, network and mail scanners, telephone records) — Conducting online meetings If you have given us consent to process your personal data for specific purposes (e.g. when you register to receive newsletters or submit other requests via online forms on our website), we process your personal data within the scope of and based on this consent, unless another legal basis or other legitimate grounds exist. Consent that has been given does not affect any data processing that has already been carried out. If the processed within the scope of the administration of industrial property rights, the relevant federal act and ordinance stipulate what data we may process and in what form. We are legally obliged to inform the public about IP rights valid in Switzerland and the personal data associated with them.

5. 5. Cookies/tracking and other technologies in connection with the use of our website

5.1 Cookies and figurative elements

We typically use cookies and other similar techniques which can identify your browser or your device. A cookie is a small file that is sent to your computer or stored automatically on your computer or mobile device by your web browser when you visit our website. If you visit this website again, we can then recognize you even if we do not know who you are. In addition to cookies which are only used for one session and are deleted after you visit the website ("session cookies"), permanent cookies can also be used to save your user settings and other information for a specific duration of time



(e.g., two years). However, you can adjust your browser settings so that it rejects cookies, only saves them for one session, or deletes them after a set time. Most browsers are pre-set to accept cookies. We use permanent cookies to better understand how you use our offers and content. If you block cookies, certain functions (e.g., language options) may no longer work. To the extent permitted, we sometimes integrate visible and invisible figurative elements into our newsletters and marketing emails which, when retrieved from our servers, allow us to know if and when you have opened the email. In this way, we can also measure and better understand how you use our offers and can tailor them to you. You can block them in your email program.

5.2 Newsletters and marketing emails

By using our websites and giving your consent to receive newsletters and other marketing emails, you agree to the use of these techniques. If you do not want this, you must adjust the settings of your browser and email program accordingly.

5.3 Google Analytics

We sometimes use Google Analytics on our websites, which is a service by third parties that could be located anywhere in the world (in the case of Google Analytics, it is Google LLC in the USA, www.google.com). Google Analytics allows us to measure and evaluate the use (non-personal) of the website. For this purpose, permanent cookies are also used, which are set by the service provider. The service provider does not receive any personal data (and does not store any IP addresses) but can track your use of the website, combine this information with data from other websites you have visited and are also tracked by the service provider, and use these insights for its purposes (e.g., to tailor advertising). If you have registered yourself with the service provider, then this service provider also can identify you. The processing of your data by the service provider is therefore the responsibility of the service provider through its data protection provisions. The service provider merely informs us as to how our respective website is used (no personal information about you). We have activated IP anonymization on this website, which means that the IP addresses of visitors to the IPI website that must be sent to the Google Analytics server are automatically shortened by removing the final digits within a very short time. For more information, see Google Marketing Platform.



5.4 Google reCAPTCHA

We sometimes use Google reCAPTCHA on our websites. These are third-party services that may be located in any country in the world (in the case of Google reCAPTCHA, it is Google LLC in the USA). We use Google reCAPTCHA to protect online forums on our website. Data processing is based on your consent. The purpose of reCAPTCHA is to check whether a human or an automated program is entering data on our websites (e.g., in a contact form). reCAPTCHA analyses the behavior of the website visitor based on various characteristics. Cookies are also used for this purpose and are set by the service provider. The analysis starts automatically as soon as the visitor opens the website and runs entirely in the background. Website visitors are not informed that an analysis is taking place. For the analysis, Google can evaluate all the information on these form pages (including the IP address, how long the visitor stays on the website, and the user's mouse movements). The data collected during the analysis is forwarded to Google. The use of the reCAPTCHA service is by Google's Privacy Policy and Terms of Service. For more information, see the website Google reCAPTCHA.

5.5 Plug-ins

We also use plug-ins on our websites for social networks such as Facebook, Twitter, Xing, and LinkedIn, which are indicated (usually with a corresponding icon). We have configured these elements to be disabled by default. If you activate them (by clicking on them), the operator of the corresponding social network registers that you are on our website and where you are and can use this information for its purposes. The processing of your data by the operator is therefore the responsibility of the operator through its data protection provisions. We do not receive any information about you from the operator.

6. Transfer of data to third parties

Your data is neither transferred nor sold or otherwise transmitted to third parties in any form unless this is required to process a contract or to fulfill our statutory tasks or if you have expressly consented to this (e.g., to ensure the security of the entry forms on our website). In addition, data may be transmitted to third parties if we are under an obligation to do so by law or by an enforceable official or court order. Some recipients of such data are located in Switzerland but can also be anywhere in the world. If we transmit data to a country that does not have appropriate data protection,



we ensure an appropriate level of protection by employing contracts accordingly, or we act based on the following statutory/legal exemptions: consent, the performance of the contract, the establishment, execution or enforcement of legal claims, overriding public interests, published personal data, or the need to protect the integrity of the persons in question. The 'Zoom' service used for online meetings is supplied by a provider in the USA. Therefore, personal data is also processed in a third country. A suitable level of data protection is contractually guaranteed. Even with local, on-premises deployment, user and meeting metadata and cloud recordings are managed in the public Zoom cloud. For additional protection, our Zoom solution is configured in such a way that only data centers in Switzerland and the EU are used for online meetings. At any time, via the office specified under Section 2, you may request information about the contractual guarantees mentioned. However, we reserve the right to censor copies or to supply them only in part, for data protection reasons or reasons of confidentiality. Anyone is allowed by law to inspect the registers maintained by us and thus become the recipient of personal data processed therein. The same applies for the inspection of the dossier from the point in time that the IP right is entered in the register, or the unexamined patent application is published. Under certain conditions, an inspection of the dossier can be requested before this point in time. The conditions are regulated in the respective ordinances. Register data and other documents such as published unexamined applications, patent applications, and search reports can, within the scope of legal provisions, be transmitted to international organizations (EPA, WIPO, EUIPO) and other trademark and patent offices.

7. Duration of personal data storage

We process and store your data to the extent that it is required to fulfill our contractual and legal obligations or for the purposes pursued by the processing, which means, for example, for the entire duration of the business relationship (from the initiation and performance of a contract to its conclusion) and beyond that by legal obligations for storage and documentation. It is therefore possible that personal data is stored for the period when claims can be made against the IPI and to the extent that we are legally obliged or authorized to do so, or legitimate business interests necessitate this (e.g. for evidence or documentation purposes). Within the scope of the administration of industrial property rights, the duration for storing dossiers is regulated in the respective ordinances and in general is five years after the cancellation, withdrawal, or rejection of the IP right



(patents, trademarks, designs, topographies). Please be advised that the data in our intellectual property rights registers also remain visible after their cancellation because the register must also provide information about past legal relationships. This data therefore also remains visible in the electronic organ of publication Swissreg after its cancellation.

8. Data security

We take appropriate technological and organizational security precautions to protect your data against unauthorized access and misuse, such as issuing instructions, training, IT and network security solutions, access controls and restrictions, encrypting data carriers and transmissions, pseudonymization, and checks.

9. Duty to provide personal data

Within the scope of our business relationship, you must provide those items of personal information required to be able to commence and carry out a business relationship and fulfill the associated contractual obligations (you usually are not obliged by law to provide us with data). However, without this data we are generally not able to conclude a contract with you (or with the office or person that you are representing), to process it nor to fulfill our legal tasks.

10. Profiling and automated decision-making

Personal data is not subject to any automated decision-making. We do not conduct profiling with personal data.

11. Rights of the person in question

Within the scope of the applicable data protection law and to the extent required by the law (e.g. in the case of the GDPR), you have the right to information, amendment, deletion, the right to restrict the processing of data and otherwise to refuse permission to our processing of the data as well as the publication of certain personal data for transfer to another office (known as data portability). Please note, however, that we reserve the right to enforce the restrictions required by law, for example in cases where we are obliged to store or process certain data and have an overriding interest to do so (to the extent that we may call on it) or require it to assert claims. We will inform you in advance if this incurs any costs for you. We have provided information about your option to revoke your consent under Section 4. Please note that exercising your rights can conflict with

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contractual agreements, which can have consequences, such as the premature termination of a contract or incurred costs. In such cases, we will inform you in advance where this is not already contractually or legally regulated. The exercise of such rights generally requires that you prove your identity (e.g., with a copy of an ID card if your identity cannot otherwise be verified). To assert your rights, you can contact us via the address provided under Section 2. Furthermore, every person affected has the right to legally enforce their claims or to submit a complaint to the responsible data protection authority. The responsible data protection authority in Switzerland is the Federal Data Protection and Information Commissioner (<http://www.edoeb.admin.ch>).

12. Amendments

We may amend this privacy policy without prior notice at any time. The version published on our website is the version currently applicable. Where appropriate, we will update you about amendments via email or in another suitable manner.